



Appendix F - Factors to consider in relation to PIPOT notifications

Questions	No cause for concern	Some cause for concern requiring investigation	Cause for concern
1. The person has behaved in a way that has harmed or may have harmed an Adult with care & support needs?	No harm or potential harm caused	Some harm or potential harm with significant evidence to support concern	Serious harm or potential harm evidenced
2. Possibly committed criminal offence against or related to adults at risk?	No or suspected only, no active police involvement	Not to an Adult with care & support needs but the offence is serious and police investigating	Yes, to which the police are actively investigating
3. Otherwise behaved towards an adult/s at risk or in a way that indicates s/he is unsuitable to work with adults with care and support needs.	No. Unsuitable behaviour not towards an adult at risk? See point 6.	Yes, i.e falsified documentation/ repeated med errors with intent to harm/ inappropriate use of restraint without injury/ inappropriate use of language towards adult at risk or using for personal gain	Yes, i.e financial abuse of adult at risk/ physical abuse/ inappropriate use of restraint resulting in injury
4. Has the person behaved in a way that has harmed children or may have harmed children which means their ability to provide a service to adults with care and support needs must be reviewed?	No	Yes, s47/ s17 by childrens service is taking place or has concluded with allegations upheld.	Yes, s47 with police involvement
5. May be subject to abuse themselves which means their ability to provide a service to adults with care and support needs must be reviewed	No	Yes, e.g DA	Yes, e.g DA with MARAC/ police involvement
6. Behaved in a way which questions their ability to provide a service to adults with care and support needs which must be reviewed – e.g., historical conviction for grievous bodily harm who is not an Adult with care & support needs.	No, conviction spent with appropriate knowledge by employer and risk assessments considered	Yes, i.e inappropriate behaviour at work/ committed criminal offense or DA unrelated to adults at risk but not significant and unreported to employer	Yes - committed criminal offence or DA unrelated to adults at risk but still significant.
	ALL GREEN NOT SAFEGUARDING OR PIPOT	TWO OR MORE AMBER – FOLLOW PIPOT PROCEDURE IF NO OTHER RELEVANT	ONE OR MORE RED – FOLLOW PIPOT PROCEDURE & RELEVANT



	SAFEGUARDING PROCEDURES	SAFEGUARDING PROCEDURES
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